

International Action Against Corruption 2017-2021

[Smart Guide](#)

PROJECT TITLE	International Action Against Corruption		
<b>IMPACT</b> Poverty is reduced and greater prosperity is enabled through the financing for development made available from reduced theft and leakages from the public sector in developing countries; the return of stolen assets otherwise lost through grand corruption; and a less corrupt business environment attracting	<b>Impact Indicator 1</b>		<b>Baseline</b>
	GDP/capita and GINI in 19 priority countries where DFID works	Planned	
		Achieved	
<b>OUTCOMES</b> <b>Reduced incentives for corruption</b> due to international partners working better together across borders and in country to close down opportunities to hide the proceeds of corruption and increase transparency and integrity, and <b>diminished impunity</b> due to stronger cross-border financial investigations and	<b>Outcome Indicator 1</b>		<b>Baseline</b>
	U4 proxy indicators (Phil/Aisha/Susie)	Planned	
		Achieved	
<b>INPUTS (£)</b>	<b>Outcome Indicator 2</b>		<b>Baseline</b>
	CPI in 19 DFID priority countries where IACT works	Planned	CPI 2017 scores. Feb 2018
		Achieved	
<b>INPUTS (HR)</b>	<b>DFID (£)</b>		<b>Govt (£)</b>
	<b>DFID (FTEs)</b>		

<b>OUTPUT 1</b> Opportunities for corruption are reduced due to less vulnerability to money-laundering. UK company compliance with the Bribery Act and stronger mitigation of corruption risk in defence and security institutions especially in Summit countries	<b>Output Indicator 1.1</b>		<b>Baseline (Jun 17)</b>
	Compliance with international standards on anti-money laundering in Summit countries/DFID priority countries (Note 3). Increase in number of cases of AML/CFT enforcement, investigations, and prosecutions supported by World Bank TA	Planned	## of relevant FATF recommendations rated compliant and largely compliant at the beginning of IMF TA programmes in DFID priority/summit countries; # of investigations and prosecutions following WB TA
		Achieved	
	<b>Output Indicator 1.2</b>		<b>Baseline (Jun 17)</b>
	UK businesses including SMEs receive and act on timely advice on compliance with the UK Bribery Act ( <b>Business Integrity Initiative</b> ).	Planned	HMG business support is fragmented especially for SMEs. Business Integrity Initiative scoping under IACT Year 1 programme
		Achieved	
			IMF TTF
			BII results fra

<b>IMPACT WEIGHTING (%)</b>  25%	<b>Output Indicator 1.3</b>		<b>Baseline (Jun 17)</b>
	Governments are better able to identify and mitigate corruption risks in their defence and security institutions ( <b>TI Defence and Security</b> ) measured by quality and engagement on the GI and its findings	Planned	??
		Achieved	
<b>INPUTS (£)</b>	<b>DFID (£)</b>		<b>Govt (£)</b>
	IMF+WB+BII+TI D&S		
<b>INPUTS (HR)</b>	<b>DFID (FTEs)</b>		

<b>OUTPUT 2</b> Corruption is exposed and detected through improved company beneficial ownership transparency and stronger financial intelligence units especially in London Anti-Corruption Summit countries passing cases to domestic and international investigations	<b>Output Indicator 2.1</b>		<b>Baseline (Jun 17)</b>
	Open national registers of company beneficial ownership are established and compatible with international Open Ownership (OO) Register; Number of entries on global register (Note 2)	Planned	OO Register operational and includes data from UK PSC Register. Plans for open national registers in Ghana and Ukraine.
		Achieved	
	<b>Output Indicator 2.2</b>		<b>Baseline (Jun 17)</b>

	Capacity and evidence on company beneficial ownership	Planned	Fragmented implementation, and limited understanding of company beneficial ownership transparency (BOT)
		Achieved	
			Scoping stud
<b>IMPACT WEIGHTING (%)</b>	<b>Output Indicator 2.3</b>		<b>Baseline (Jun 17)</b>
25%	Improvements in capacity and international collaboration by FIU members of Egmont (Note 1)	Planned	ECOFEL being designed in 2016-17 under IACT Year 1 programme
		Achieved	
			ECOFEL r
<b>INPUTS (£)</b>	<b>DFID (£)</b>		<b>Govt (£)</b>
<b>INPUTS (HR)</b>	<b>DFID (FTEs)</b>		
<b>OUTPUT 3</b>	<b>Output Indicator 3.1</b>		<b>Baseline (Jun 17)</b>
Corrupt actors are held to account and victims are reimbursed through the tracing, recovery and return of stolen public assets	Percentage of ICAR supported cases, including in DFID priority and Summit countries making at least 4 additional steps toward conviction per year	Planned	Zero
		Achieved	
			ICAR res
<b>IMPACT WEIGHTING (%)</b>			
20%			
<b>INPUTS (£)</b>	<b>DFID (£)</b>		<b>Govt (£)</b>
<b>INPUTS (HR)</b>	<b>DFID (FTEs)</b>		
<b>OUTPUT 4</b>	<b>Output Indicator 4.1</b>		<b>Baseline (Jun 17)</b>
IACT partners work effectively together internationally and in DFID priority countries to close routes for corruption	Collaboration between IACT partners and with country offices in response to country demand. (Note 5)	Planned	Support is fragmented, not coordinated or communicating effectively with DFID country teams (conduct survey)
		Achieved	
			Calls with country offices in S
	<b>Output Indicator 4.2</b>		<b>Baseline (Jun 17)</b>
	IACT partners' international collaboration to influence international standards (all I-ACT partners e.g. TI-S ++).	Planned	Ad hoc collaboration
		Achieved	
<b>IMPACT WEIGHTING (%)</b>	<b>Output Indicator 4.3</b>		<b>Baseline (Jun 17)</b>
15%	Governments in Summit countries are held to account internationally and in country for delivering Summit commitments (TI-UK)	Planned	4 national Summit pledge trackers operational under Year 1 programme for Afghanistan, Ghana, Kenya and UK.
		Achieved	
			TI summit tracker logframe: outcome indicator 1 complete, underway
<b>INPUTS (£)</b>	<b>DFID (£)</b>		<b>Govt (£)</b>
	TI-S +TI-UK		
<b>INPUTS (HR)</b>	<b>DFID (FTEs)</b>		
<b>OUTPUT 5</b>	<b>Output Indicator 5.1</b>		<b>Baseline (Jun 17)</b>
Evidence and lessons on how to reduce corruption are systematically collated, incorporated into the programme and used to evidence further UK and international action	Anti-corruption evidence (ACE) programme research grants .	Planned	No systematic research on impact of international work on incentives for corruption

		Achieved	
	<b>Output Indicator 5.2</b>		<b>Baseline (Jun 17)</b>
	IACT partners' international collaboration to share lessons (Note. 4)	Planned	Ad hoc collaboration
		Achieved	
<b>IMPACT WEIGHTING (%)</b>	<b>Output Indicator 5.3</b>		<b>Baseline (Jun 17)</b>
	Visits and repeat visits to U4 website and number of helpdesk responses.	Planned	
15%		Achieved	
<b>INPUTS (£)</b>	<b>DFID (£)</b>		<b>Govt (£)</b>
	ACE+U4		
<b>INPUTS (HR)</b>	<b>DFID (FTEs)</b>		

Need to agree countries each year for monitoring for the year ahead so can adapt to where there is political momentum

Note 1: ECOFEL needs to put in a smaller number of indicators and milestones and targets into its own new results framework so IACT can use them on ECOFEL outcomes 1 and 3 and the Possible to pilot Egmont approach with Tanzania FIU - consult Sean Hilhorst.

Note 2: Use data on number of entries/usage of OO global register - what are they recording?? **Sylvia**

Note 3: Need to refer to country coverage by IMF (international standards) and WB (TA) - to see if we can refer to Summit countries/DFID priority countries? Can we have x% increase by A)

Note 4. Need to follow up with U4 on how will facilitate and record one of these plus one would be by new MEL partner if in place by November 2018. **Aisha**

Note 5. Need to agree on the issues for collaboration with partners and following country calls - Ghana call is first but this needs to be additional not something already in play. **James**

Milestone 1	Milestone 2	Milestone 3	Target (date)	
Source				
Source				
Source				
Milestone 1	Milestone 2	Milestone 3	Target (date)	Assumptions
Source				
Source				
Milestone 1	Milestone 2	Milestone 3	Target (date)	
Track change - not necessarily milestone				
Source				
Other (£)	Total (£)		DFID SHARE (%)	

Milestone (Jun 18)	Milestone 2 (Jun 2019)	Milestone 3 (Jun 20)	Target (Jun 21)	Assumptions
N/A IMF/WB agreements only signed in March/April 2018. May steering committee agreement on establishing better methodology for impact;	xx% increase in relevant FATF recs rated compliant and largely compliant at the beginning of IMF TA programmes in DFID priority/submit ; x% increase in investigations and prosecutions following WB TA.	TBC once new impact methodology and evaluation agreed	TBC once methodology agreed	That IMF will focus work in DFID priority countries, subject to DFID engagement in the designation of IMF priority countries. World Bank Steering committee date yet to be established, but the same considerations apply there. That IMF and World Bank are content to ensure reporting on this indicators can be shared, in a disaggregated manner.
Source				
and WB TF results frameworks				

Milestone Jun (18)	Milestone 2 (Jun 2019)	Milestone 3 (Jun 20)	Target (Jun 21)	
DFID-FCO-DIT business Integrity Initiative approach and resourcing agreed across HMG; Digital integrity content on GREAT.GOV.UK refreshed	Cross-HMG Hub for Business Integrity and Human Rights set up, with up to five staff members. Three country pilots of a one-HMG approach to the provision of business integrity support through UK missions started	To be agreed once BII operational	To be agreed once BII operational	
Source				
work when available (by Sept 18)				

Milestone 1 (Jun 18)	Milestone 2 (Jun 19)	Milestone 3 (Jun 20)	Target (Jun 21)	
5 points	10 points	15 points	??	GI (Global Defence Anti-Corruption Index) is a measure of the risk of corruption in over 80 countries' defence sectors. This is used as the basis for engagement on reform with country governments. In this logframe metric points are scored for each country where engagement is achieved, with the more meaningful the engagement, the greater the number of points.
Source				
cumulative				

Other (£)	Total (£)		DFID SHARE (%)	Assumptions
				Continued political commitment to take forward Summit commitments even if governments change in country - need to work with new governments/csos/business to maintain momentum.

Milestone 1 (Jun 18)	Milestone 2 (Jun 19)	Milestone 3 (Jun 20)	Target (Jun 21)	
4.8m entries on OO Register. Plans for open national register being developed in 1 additional Summit country and data compatible with OO register.	5m entries on OO Register and open national register being developed in 1 additional Summit or DFID priority country and compatible with OO register.	5.5m entries on OO Register and open national register being developed in 1 additional Summit or DFID priority country and compatible with OO register.	6m entries on global OO Register and open national register being developed in 1 additional Summit or DFID priority country and compatible with global register.	
Source				
Open Ownership reporting				
Milestone 1 (Jun 18)	Milestone 2 (Jun 19)	Milestone 3 (Jun 20)	Target (Jun 21)	

TORs for beneficial ownership scoping study on capacity needs and international architecture.	3 case studies of how/why to set up company beneficial ownership registers and use of information (OO/U4/EITI)	Enhanced UK and international technical assistance capacity in place	Robust UK and international technical assistance capacity in place	
<b>Source</b>				<b>RISK RATING</b>
ly and follow-up to recommendations				
<b>Milestone 1 (Jun 18)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone 3 (Jun 20)</b>	<b>Target (Jun 21)</b>	
ECOFEL central or peer support piloted on demand from 1 Summit / DFID priority country	ECOFEL support results in improved capacity and cases passed to investigators from 1 summit / DFID priority country	ECOFEL support results in improved capacity and cases passed to investigators from 2 summit/ DFID priority countries	ECOFEL support results in improved capacity and cases passed to investigators from 4 summit / DFID priority countries	
<b>Source</b>				
esults framework priorities 1 and 3				<b>Assumptions</b>
<b>Other (£)</b>	<b>Total (£)</b>		<b>DFID SHARE (%)</b>	
<b>Milestone 1 (Jun 18)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone 3 (Jun 20)</b>	<b>Target (Jun 21)</b>	
48% have taken 4 or more steps towards conviction above baseline.	48% have taken 4 or more steps towards conviction above Milestone 1	48% have taken 4 or more steps towards conviction above Milestone 2	2 ICAR supported cases completed leading to conviction, recovery and return of assets in DFID priority or Summit countries	
<b>Source</b>				
sults framework outp indicator 2.1				
<b>Source</b>				
<b>Other (£)</b>	<b>Total (£)</b>		<b>DFID SHARE (%)</b>	
<b>Milestone 1 (Jun 18)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone 3 (Jun 20)</b>	<b>Target (Jun 21)</b>	
IACT partners agree on how to collaborate in 1 Summit or DFID priority countries on specific issues/cases	IACT partners' collaboration leads to progress on specific issues/cases in 2 Summit or DFID priority countries; 2 FLD/programme funded posts in place to coordinate and link CMPs to country programmes	IACT partners' collaboration leads to progress on specific issues/cases in 3 Summit or DFID priority countries; total of 4 FLD/programme funded posts in place	IACT partners' collaboration leads to progress on specific issues/cases in 4 Summit or DFID priority countries; total of 4 FLD/programme funded posts in place	
<b>Source</b>				
ummit countries; Feedback from DFID country teams				
<b>Milestone 1 (Jun 18)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone 3 (Jun 20)</b>	<b>Target (Jun 21)</b>	
2 new collaborations which lead to changes in international standards (eg; G20, UN, OGP);	2 new collaborations (4 in aggregate) which lead to changes in international standards (eg; G20, UN, OGP);	2 new collaborations (6 in aggregate) which lead to changes in international standards (eg; G20, UN, OGP);		
<b>Source</b>				<b>RISK RATING</b>
<b>Milestone 1(Jun 18)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone (Jun 20)</b>	<b>Target (Jun 21)</b>	
4 national Summit pledge trackers operational and 4 more in development; National advocacy strategies developed by TI chapters and partner organisations in 1 partner country; 1 governments have advanced their commitments on BOT, Asset Recovery, law enforcement, up at least one category	8 national Summit pledge trackers operational; National advocacy strategies implemented by TI chapters and partner organisations in 2 partner (at least 1 DFID) countries; 2 governments have advanced their commitments on BOT, Asset Recovery, law enforcement, up at least one category since previous year	8 national Summit pledge trackers operational; National advocacy strategies implemented by TI chapters and partner organisations in 5 partner (at least 2 DFID) countries; 2 governments have advanced their commitments on BOT, Asset Recovery, law enforcement, up at least one category since previous year	8 national Summit pledge trackers operational; National advocacy strategies implemented by TI chapters and partner organisations in 5 partner (at least 2 DFID) countries; 3 governments have advanced their commitments on BOT, Asset Recovery, law enforcement, up at least one category since previous year	<ul style="list-style-type: none"> <li>• A key stakeholder group includes one of the following - e.g. partner CSOs, government officials, public officials, business sector reps, journalists, community groups, academics and any other group decided as relevant after consultation</li> <li>• Depth/levels/type of engagement will differ across countries but must be sustained over the project period</li> <li>• Chapters do not undergo major disruption or cease to be a TI chapter</li> <li>• There are no severe financial irregularities identified each year in the reporting process</li> </ul>
<b>Source</b>				
r, ongoing assessments for commitments; output indicator 2.2 on national advocacy strategies.				<b>Assumptions</b>
<b>Other (£)</b>	<b>Total (£)</b>		<b>DFID SHARE (%)</b>	
<b>Milestone 1 (Jun 18)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone 3 (Jun 20)</b>	<b>Target (Jun 21)</b>	
British Academy (BA ACE) completes second round grant completion and funds at least 4 new research grants on international anti-corruption	All BA ACE grants on international corruption are on track at end of year 1; their research uptake strategies are on track; and have produced at least 1 public product.	All BA ACE grants on international corruption are on track at end of year 2; research uptake strategies are on track; and have produced at least 2 public research and/or policy products.	All BA ACE grants on international corruption are complete and have produced at least 3 public products, including at least 1 research output.	

<b>Source</b>				
<b>Milestone 1 (Jun 2018)</b>	<b>Milestone 2 (Jun 19)</b>	<b>Milestone 3 (Jun 20)</b>	<b>Target (Jun 21)</b>	
MEL partner in process of being selected	MEL partner in place coordinating systematic lesson-sharing; U4 recording and disseminating lessons	TBC when MEL partner in place	TBC when MEL partner in place	
<b>Source</b>				<b>RISK RATING</b>
<b>Milestone 1 - visits and sessions (Jun 18)</b>	<b>Milestone 2 - repeat visits (Jun 19)</b>	<b>Milestone 3 (Jun 20) Helpdesk</b>	<b>Target (Jun 21)</b>	
1,04,969	28%		27	
<b>Source</b>				
U4 results framework				
<b>Other (£)</b>	<b>Total (£)</b>		<b>DFID SHARE (%)</b>	

en you can update this logframe.

Phil

pril 2019 - what % would that be? James